

Corporate Governance

DCM FINANCIAL SERVICES LTD.

Scrip Code :511611

**Quarter Ending
: September 2017**

Annexure 1

Composition of Board of Directors

Title	Name of the Director	Category	Date of appointment in the current term	Date of cessation	Tenure of Director (in months)	No of Directorship in listed entities including this listed entity	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity
Mr	SEHDEV SHORI	Non-Executive - Independent Director,	30 Nov 2015	11 Aug 2017	23	1	0	0
Mr	SURENDER KUMAR	Executive Director,Chairperson	30 Dec 2003	-	-	1	2	0
Ms	DAMAN PREET KAUR	Non-Executive - Independent Director,	11 Aug 2017	-	1	1	1	0
Ms	MEDINI JAISWAL	Non-Executive - Independent Director,	22 May 2017	-	4	1	1	0
Ms	RICHA KALRA	Non-Executive - Independent Director,	30 Nov 2016	-	11	1	0	2

Composition Of Committee

Audit Committee	
Name of Committee members	Category
Mr. Surender Kumra	Executive Director,Member

Ms. Medini Jaiswal	Non-Executive - Independent Director,Member
Ms. Richa Kalra	Non-Executive - Independent Director,Chairperson

Nomination and remuneration committee

Name of Committee members	Category
Ms. Daman Preet Kaur	Non-Executive - Independent Director,Member
Ms. Medini Jaiswal	Non-Executive - Independent Director,Member
Ms. Richa Kalra	Non-Executive - Independent Director,Chairperson

Stakeholders Relationship Committee

Name of Committee members	Category
Mr. Surender Kumra	Executive Director,Member
Ms. Daman Preet Kaur	Non-Executive - Independent Director,Member
Ms. Richa Kalra	Non-Executive - Independent Director,Chairperson

Meeting Of Board Of Directors

Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the relevant quarter	Maximum gap between any two consecutive meeting (in number of days)
22 May 2017	-	0
29 May 2017	-	6
-	11 Aug 2017	73

Meeting Of Committees

Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)
Audit Committee	11 Aug 2017	Yes, Required quorum was present	29 May 2017	73

Stakeholders Relationship Committee	11 Aug 2017	Yes, Required quorum was present	29 May 2017	73
Nomination and remuneration committee	11 Aug 2017	Yes, Required quorum was present	22 May 2017	80

Related Party Transactions

Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance
Whether prior approval of audit committee obtained	NA	-
Whether shareholder approval obtained for material RPT	NA	-
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by audit committee	NA	-

Affirmations

Subject	Compliance status (Yes/No)
The composition of board of directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
The composition of audit committee is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
The composition of the nomination and remuneration committee is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
The composition of the stakeholders relationship committee is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
The composition of the risk management committee is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	NA
The committee members have been made aware of their powers, role and responsibilities as specified in of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
The meetings of the board of directors and the above committees have been conducted in the manner as specified SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes

Signatory Details

Name of signatory	CS Srishti Singh
Designation of person	Company Secretary

Annexure 3

Broad heading	Regulation Number	Compliance status	If status is "No" details of
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		(Yes/No/NA)	non-compliance
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	-
Presence of chairperson of audit committee at the annual general meeting	18(1)(d)	Yes	-
Presence of chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	-
Whether corporate governance report disclosed in annual report	34(3) read with para C of Schedule V	Yes	-

Signatory Details

Name of signatory	CS Srishti Singh
Designation of person	Company Secretary