

General information about company

Scrip code	511611
Name of the company	DCM FINANCIAL SERVICES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	4	8739952			8739952	39.5	8739952		8739952	39.5
(B)	Public	22432	13385102			13385102	60.5	13385102		13385102	60.5
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	22436	22125054			22125054	100	22125054		22125054	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				39.5					8739952
(B)	Public				60.5					9577701
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					18317653

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	1	15			15	0	15		15	0
(d)	Any Other (specify)	3	8739937			8739937	39.5	8739937		8739937	39.5
Sub-Total (A)(1)		4	8739952			8739952	39.5	8739952		8739952	39.5
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		4	8739952			8739952	39.5	8739952		8739952	39.5
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	8	33700			33700	0.15	33700		33700	0.15
(f)	Financial Institutions/ Banks	3	1352700			1352700	6.11	1352700		1352700	6.11
Sub-Total (B)(1)		11	1386400			1386400	6.27	1386400		1386400	6.27
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	21660	8186115			8186115	37	8186115		8186115	37
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	35	1798722			1798722	8.13	1798722		1798722	8.13
(e)	Any Other (specify)	726	2013865			2013865	9.1	2013865		2013865	9.1
Sub-Total (B)(3)		22421	11998702			11998702	54.23	11998702		11998702	54.23
Total Public											

Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)		22432	13385102			13385102	60.5	13385102		13385102	60.5
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		22436	22125054			22125054	100	22125054		22125054	100
Total (A+B+C)		22436	22125054			22125054	100	22125054		22125054	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				0					15
(d)				39.5					8739937
Sub-Total (A) (1)				39.5					8739952
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				39.5					8739952
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0.15					0
(f)				6.11					0
Sub-Total (B) (1)				6.27					0
(3)	Non-institutions								
(a(i))				37					6343581
(a(ii))				8.13					1750322
(e)				9.1					1483798
Sub-Total (B) (3)				54.23					9577701
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				60.5					9577701
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					18317653
Total (A+B+C)				100					18317653

Individuals/Hindu undivided Family		
Serial No.	1	
Name of the Shareholders (I)	VIVEK BHARATRAM	Click here to go back
PAN (II)	AAAPV0837A	Total
No. of fully paid up equity shares held (IV)	15	15
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	15	15
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	15	15
Class eg: y		
Total	15	15
Total as a % of Total Voting rights	0	0
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0	0

Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	15	15
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)				
Serial No.	1	2	3	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	DCM SERVICES LIMITED	SHRIRAM GLOBAL ENERPRISES LIMITED	INTELLECT CAPITAL SERVICES LIMITED	
PAN (II)	AAACD0426A	AAACD6846Q	AABCI7241P	Total
No. of the Shareholders (I)	1	1	1	3
No. of fully paid up equity shares held (IV)	6352487	312450	2075000	8739937
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	6352487	312450	2075000	8739937
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	28.71	1.41	9.38	39.5
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	6352487	312450	2075000	8739937
Class eg:y				
Total	6352487	312450	2075000	8739937
Total as a % of Total Voting rights	28.71	1.41	9.38	39.5
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a				

percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	28.71	1.41	9.38	39.5
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	6352487	312450	2075000	8739937
Reason for not providing PAN				

Financial Institutions/ Banks

Serial No.	1	
Name of the Shareholders (I)	PUNJAB & SIND BANK	Click here to go back
PAN (II)	AAACP1206G	Total
No. of fully paid up equity shares held (IV)	1319900	1319900
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1319900	1319900
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.97	5.97
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1319900	1319900
Class eg:y		
Total	1319900	1319900
Total as a % of Total Voting rights	5.97	5.97
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	5.97	5.97

Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	0	0
Reason for not providing PAN		
Reason for not providing PAN		

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Serial No.	1	2	
Name of the Shareholders (I)	BHARATKUMAR HARIKRISHNA PARIKH	KOKILBEN BHARATKUMAR PARIKH	Click here to go back
PAN (II)	AEFPP7433K	AFHPP7535B	Total
No. of fully paid up equity shares held (IV)	240270	275391	515661
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	240270	275391	515661
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.09	1.24	2.33
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	240270	275391	515661
Class eg:y			
Total	240270	275391	515661
Total as a % of Total Voting rights	1.09	1.24	2.33
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.09	1.24	2.33

Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	240270	275391	515661
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)

Serial No.	1	2	3	
Category	Bodies Corporate	NRI – Repat	NRI – Non- Repat	
Category / More than 1 percentage	More than 1 percentage of shareholding	Category	Category	
Name of the Shareholders (I)	CENTENNIAL CORPORATION PVT LIMITED			Click here to go back
PAN (II)	AAACI6746G			Total
No. of the Shareholders (I)	1	49	425	474
No. of fully paid up equity shares held (IV)	600000	52264	519901	572165
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	600000	52264	519901	572165
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.71	0.24	2.35	2.59
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	600000	52264	519901	572165
Class eg:y				
Total	600000	52264	519901	572165
Total as a % of Total Voting rights	2.71	0.24	2.35	2.59
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible				

securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.71	0.24	2.35	2.59
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	600000	51964	57951	109915
Reason for not providing PAN				
Reason for not providing PAN				

