



Ref:DFS/SE/

20<sup>th</sup> Jan,2017

Listing Department BSE Limited Floor 25, PJ Towers Dalal Street MUMBAI - 400001  Code :511611	Listing Department National Stock Exchange of India Limited Exchange Plaza, 5 <sup>th</sup> Floor Plot No C/1, G Block, Bandra-Kurla Complex, Bandra (East), MUMBAI - 400051
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SUB; SHAREHOLDING PATTERN FOR THE QUARTER ENDED 31<sup>st</sup> Dec,2016

Dear Sir,

Please find enclosed herewith Shareholding Pattern for the quarter ended 31<sup>st</sup> Dec,2016

Thanking you,

Yours truly  
For DCM FINANCIAL SERVICES LIMITED

  
COMPLIANCE OFFICER

Encl: as above

**DCM FINANCIAL SERVICES LIMITED**

CIN 65921DL1991PLC043087

Regd. Office: D 7/3,Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750

email ID: info@dfsionline.com

Website: www.dfsionline.com

<b>General information about company</b>	
Scrip code	511611
Name of the company	DCM FINANCIAL SERVICES LTD
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)



Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	4	8739952			8739952	39.5	8739952		8739952	39.5
(B)	Public	22512	13385102			13385102	60.5	13385102		13385102	60.5
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	<b>Total</b>	<b>22516</b>	<b>22125054</b>			<b>22125054</b>	<b>100</b>	<b>22125054</b>		<b>22125054</b>	<b>100</b>

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				39.5					8739952
(B)	Public				60.5					9574441
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	<b>Total</b>				<b>100</b>					<b>18314393</b>

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								No of Voting (XIV) Rights			
								Class eg: X	Class eg: Y	Total	
<b>A</b>	<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	1	15			15	0	15		15	0
(d)	Any Other (specify)	3	8739937			8739937	39.5	8739937		8739937	39.5
<b>Sub-Total (A)(1)</b>		<b>4</b>	<b>8739952</b>			<b>8739952</b>	<b>39.5</b>	<b>8739952</b>		<b>8739952</b>	<b>39.5</b>
(2)	Foreign										
<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>		<b>4</b>	<b>8739952</b>			<b>8739952</b>	<b>39.5</b>	<b>8739952</b>		<b>8739952</b>	<b>39.5</b>
<b>B</b>	<b>Table III - Statement showing shareholding pattern of the Public shareholder</b>										
(1)	Institutions										
(a)	Mutual Funds	8	33700			33700	0.15	33700		33700	0.15
(f)	Financial Institutions/ Banks	3	1352700			1352700	6.11	1352700		1352700	6.11
<b>Sub-Total (B)(1)</b>		<b>11</b>	<b>1386400</b>			<b>1386400</b>	<b>6.27</b>	<b>1386400</b>		<b>1386400</b>	<b>6.27</b>
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	21744	8175925			8175925	36.95	8175925		8175925	36.95
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	35	1842563			1842563	8.33	1842563		1842563	8.33
(e)	Any Other (specify)	722	1980214			1980214	8.95	1980214		1980214	8.95
<b>Sub-Total (B)(3)</b>		<b>22501</b>	<b>11998702</b>			<b>11998702</b>	<b>54.23</b>	<b>11998702</b>		<b>11998702</b>	<b>54.23</b>
<b>Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)</b>		<b>22512</b>	<b>13385102</b>			<b>13385102</b>	<b>60.5</b>	<b>13385102</b>		<b>13385102</b>	<b>60.5</b>
<b>C</b>	<b>Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder</b>										
<b>Total (A+B+C2)</b>		<b>22516</b>	<b>22125054</b>			<b>22125054</b>	<b>100</b>	<b>22125054</b>		<b>22125054</b>	<b>100</b>
<b>Total (A+B+C)</b>		<b>22516</b>	<b>22125054</b>			<b>22125054</b>	<b>100</b>	<b>22125054</b>		<b>22125054</b>	<b>100</b>

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+ (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
<b>A</b>	<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>								
(1)	Indian								
(a)				0					15
(d)				39.5					8739937
Sub-Total (A) (1)				39.5					8739952
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				39.5					8739952
<b>B</b>	<b>Table III - Statement showing shareholding pattern of the Public shareholder</b>								
(1)	Institutions								
(a)				0.15					0
(f)				6.11					0
Sub-Total (B) (1)				6.27					0
( 3 )	Non-institutions								
(a(i))				36.95					6330631
(a(ii))				8.33					1794163
(e)				8.95					1449647
Sub-Total (B) (3)				54.23					9574441
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				60.5					9574441
<b>C</b>	<b>Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder</b>								
Total ( A+B+C2 )				100					18314393
Total (A+B+C )				100					18314393

**Individuals/Hindu undivided Family**

Serial No.	1	
Name of the Shareholders (I)	VIVEK BHARAT RAM	<a href="#">Click here to go back</a>
PAN (II)	AAAPV0837A	Total
No. of fully paid up equity shares held (IV)	15	15
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	15	15
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg:X	15	15
Class eg:y		
Total	15	15
Total as a % of Total Voting rights	0	0
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0	0
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total Shares held (b)		
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>		
No. (a)		



As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	15	15
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)				
Serial No.	1	2	3	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	DCM SERVICES LIMITED	SHRIRAM GLOBAL ENTERPRISES LTD	INTELLECT CAPITAL SERVICES LTD	
PAN (II)	AAACD0426A	AAACD6846Q	AABCI7241P	Total
No. of the Shareholders (I)	1	1	1	3
No. of fully paid up equity shares held (IV)	6352487	312450	2075000	8739937
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	6352487	312450	2075000	8739937
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	28.71	1.41	9.38	39.5
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg: X	6352487	312450	2075000	8739937
Class eg:y				
Total	6352487	312450	2075000	8739937
Total as a % of Total Voting rights	28.71	1.41	9.38	39.5
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	28.71	1.41	9.38	39.5
<b>Number of Locked in shares (XII)</b>				
No. (a)				

As a % of total Shares held (b)				
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	6352487	312450	2075000	8739937
Reason for not providing PAN				

**Financial Institutions/ Banks**

Serial No.	1	
Name of the Shareholders (I)	PUNJAB & SIND BANK	<a href="#">Click here to go back</a>
PAN (II)	AAACP1206G	Total
No. of fully paid up equity shares held (IV)	1319900	1319900
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1319900	1319900
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.97	5.97
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	1319900	1319900
Class eg:y		
Total	1319900	1319900
Total as a % of Total Voting rights	5.97	5.97
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	5.97	5.97
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	0	0

Reason for not providing PAN		
Reason for not providing PAN		

**Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.**

Serial No.	1	2	
Name of the Shareholders (I)	BHARATKUMAR HARIKRISHNA PARIKH	KOKILABEN BHARATKUMAR PARIKH	<a href="#">Click here to go back</a>
PAN (II)	AEFPP7433K	AHFPP7535B	Total
No. of fully paid up equity shares held (IV)	240270	275391	515661
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	240270	275391	515661
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.09	1.24	2.33
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	240270	275391	515661
Class eg:y			
Total	240270	275391	515661
Total as a % of Total Voting rights	1.09	1.24	2.33
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.09	1.24	2.33
<b>Number of Locked in shares (XII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	240270	275391	515661

Reason for not providing PAN			
Reason for not providing PAN			

**Any Other (specify)**

Serial No.	1	2	3	
Category	Bodies Corporate	NRI – Repat	NRI – Non- Repat	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)				<a href="#">Click here to go back</a>
PAN (II)				Total
No. of the Shareholders (I)	247	46	429	722
No. of fully paid up equity shares held (IV)	1409764	48602	521848	1980214
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1409764	48602	521848	1980214
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.37	0.22	2.36	8.95
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg: X	1409764	48602	521848	1980214
Class eg:y				
Total	1409764	48602	521848	1980214
Total as a % of Total Voting rights	6.37	0.22	2.36	8.95
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	6.37	0.22	2.36	8.95
<b>Number of Locked in shares (XII)</b>				
No. (a)				



As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	1341947	48302	59398	1449647
Reason for not providing PAN				
Reason for not providing PAN				

